Receipt of Funds Record

NOTE: A Receipt of Funds record is required by the *Proceeds of Crime (Money Laundering) and Terrorist Financing Act* for every amount of funds that a REALTOR® member receives in the course of a single purchase or sale real estate transaction.
A REALTOR® does NOT have to complete a Receipt of Funds Record if:

(i) the funds are received from a financial entity or a public body that is buying or selling; or,
(ii) a Large Cash Transaction Record must be completed; or,
(iii) the deposit does not go into the trust account of a licensed practitioner. In other words, if the deposit goes directly into the account of a builder, lawyer or notary, or developer, a Receipt of Funds Record does not have to be completed by a member acting as the buyers' agent.

When this Record is completed, it is the responsibility of the broker to ensure that a record is kept for five years from the date it was created.

(i) When a REALTOR® member completes a Receipt of Funds Record, they must also complete an Identification Information Record at the same time, unless the Identification Information Record was completed prior to the receipt of funds.
(ii) When both the buyer and seller are represented, it is the agent of the buyer who is required to complete and retain a Receipt of Funds Record in respect of the deposit made, regardless of who retains the deposit.

Transaction Property Address: 32 2425 Mount Baldy Drive
Kelowna
V1Y 6G1

Sales Representative/Broker Name: Joshua Szalay Royal LePage Kelowna

Date: August 23, 2016

1. Amount of Funds Received: $5000 **Currency:** CAD

☐ **Cheque** ☐ **Certified Cheque** ☐ **Cash** ☐ **Bank Draft**

☑ **Other, explain:** Wire Transfer

(a) If cash, indicate method of receipt *(in person, mail, courier, other (explain))*

(b) If cheque, indicate: Number of account:

Financial Institution: **Name of account holder:**

2. Date of receipt of funds: August 23, 2016

3. Account where funds were deposited *(eg. Broker's trust account)***:** Broker's trust account

Where there are two agents involved in a transaction and the funds are deposited in the listing agent's account the buyer's agent is responsible for completing the receipt of funds record. However, the buyer's agent is not required to include the number and type of the listing agent's account or the name of the person or entity that is the holder of that account if, after taking reasonable measures, they are unable to do so. Further, if dealing with trust accounts, although the buyer's agent must indicate that the funds were deposited into the listing agent's trust account, the buyer's agent would not be required to include the number of the trust account or the name or entity that holds the trust account.

Note that if multiple accounts are affected, information on all accounts affected needs to be recorded. For example, assuming the buyer's agent transfers funds from their account into the listing agent's account, both accounts are affected by the transaction and therefore both numbers are to be recorded on the Receipt of Funds Record. However, the features noted in the previous paragraph with respect to the listing agent's accounts still apply.

Indicate type of account where deposit has been made: ☑ **Trust** ☐ **Other**

Number of account: **Name of account holder:**

4. Purpose of funds (e.g., deposit for purchase): Deposit for purchase

5. Other details concerning receipt of funds:

TELEGRAPHIC (WIRE) TRANSFER INSTRUCTION FORM

English

Are you a: Individual ? (Select one option please)

Current Date: 23 August 201

Ordering Party Name:

Ordering Party Account Number:

Ordering Party Address:

Account Holder's Details

Customer Name(s)/Business Name: VIVIAN BARBOSA MORETTI

Contact Number: 2508994166

Customer/Business Address: 406-2142 VASILE ROAD, KELOWNA BC, V1Y6H5

Account Currency: CAD

Payment Details

Instruction Currency: CAD

Instruction Amount: 5,000.00 in CAD

Debit Account Number: 250 - 048248 - 150

Funds Transfer Charges: Debit account to pay local HSBC charges only (SHA)

Reference for your account:

Important Information: In the event a foreign exchange (FX) conversion is required, HSBC will convert the funds at the prevailing exchange rate AT THE TIME THE PAYMENT INSTRUCTIONS ARE PROCESSED. The FX rate details will appear on the customer's account statement.

Destination Details

If applicable
Bank Code Type:

Intermediary Bank Code:

Bank Code Type: SWIFT (International)

Beneficiary Bank Code: ROYCCAT2

Beneficiary Bank Name: ROYAL BANK OF CANADA

Beneficiary Bank Address: 1840 COOPER ROAD
KELOWNA BC, V1Y8K5

Beneficiary Bank Country: Canada

Beneficiary Account Number: 024401004316

Beneficiary's Full Name(s): RLK REALITY LTD IN TRUST

Beneficiary Address: UNIT 1 - 1890 COOPER ROAD
KELOWNA BC, V1Y8B7

Beneficiary Country: Canada

Optional Payment Details (for Beneficiary's reference only): DEPOSIT FOR PROPERTY 32-2425 MOUNT BALDY DRIVE - BUYERS EDUARDO MURAOKA/VIVIAN BARBOSA MORETTI

Terms and Conditions - By signing I/We agree that:

☒

- Telegraphic (wire) transfers (Wires) are subject to processing times, service fees and business practices of HSBC, correspondent banks, clearing houses and other settlement systems and may take two or more banking days for completion.
- HSBC will only process this Wire as per the information inputted into the form and captured in the bar code. HSBC will disregard any hand written amendments made to the printed Form.
- If I/we request a refund of this Wire, or a refund of this Wire is necessary for any other reason, HSBC may delay processing the refund until the funds are received by HSBC. HSBC may deduct any fees or charges from my account relating to the refund. Any resulting fluctuation in FX rates is at my/our risk.
- All of my account terms and conditions apply to this Wire.
- Wires greater than CAD 250,000 or equivalent may be subject to a call back to confirm your instructions at HSBC's sole discretion. If we have not been able to reach you and confirm your instructions within two banking days, the Wire will not be processed.

Customer Signature | Customer Signature

Branch OTC Use Only – Staff to verify that all required information has been checked/confirmed and FX details are completed where appropriate:

☐ All mandatory payment information present, customer KYC completed and funds available

Scanning Branch Transit ....

Foreign exchange to be booked with Treasury for amounts greater than CA 25,000 equivalent.

FX Reference Number .... Customer Rate .... Deal Amount ....

Bank Signature ("A" or "B" signatory) | Bank Signature ("A" signatory as required, else "B" signatory)

HSBC